



DOCKET NO.: SOFT-0004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

RECEIVED

John C. Munson

Confirmation No.: 5197

JUN 17 2003

Application No.: 10/099,752

Group Art Unit: 2131

Technology Center 2100

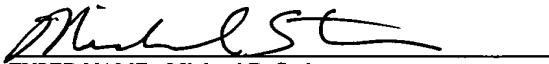
Filing Date: March 15, 2002

Examiner: Not Yet Assigned

**For: METHOD AND SYSTEM FOR SIMPLIFYING THE STRUCTURE OF
DYNAMIC EXECUTION PROFILES**

DATE OF DEPOSIT: June 11, 2003

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID,
ON THE DATE INDICATED ABOVE AND IS
ADDRESSED TO THE COMMISSIONER FOR PATENTS,
P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.


TYPED NAME: Michael D. Stein
REGISTRATION NO.: 34,734

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith

EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

- ☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
- ☐ Copies of references **[list as appropriate]** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. _____, filed _____.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: June 11, 2003



Michael D. Stein
Registration No. 34,734

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439



Sheet 1 of 1

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. SOFT-0004	Application No. 10/099,752
		Applicant John C. Munson	
		Filing Date March 15, 2002	Group 2131

RECEIVED
JUN 17 2003
Technology Center 2100

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
1	Dasgupta, D. et al., "Novelty Detection in Time Series Data Using Ideas from Immunology," 1995, 6 pages
2	D'haeseleer, P. et al., "A Distributed Approach to Anomaly Detection," August 30, 1997, 30 pages
3	D'haeseleer, P. et al., "An Immunology Approach to Change Detection: Algorithms, Analysis and Implications," <i>IEEE Symposium on Security and Privacy</i> , 1996, 10 pages
4	Forrest, S. et al., "Computer Immunology," <i>Comm. of the ACM</i> , March 21, 1996, 18 pages
5	Forrest, S. et al., "Self-Nonsself Discrimination in a Computer," <i>Proceedings of IEEE Symposium on Research in Security and Privacy</i> , 1994, 11 pages
6	Hofmeyr, S.A., "Intrusion Detection Using Sequences of System Calls," December 17, 1997, 41 pages
7	Hofmeyr, S.A. et al., "Architecture for an Artificial Immune System," 2000, 31 pages
8	Somayaji, A. et al., "Automated Response Using System-Call Delays," <i>Proceedings of the 9th USENIX Security Symposium</i> , August 14-17, 2000, 13 pages
9	Somayaji, A. et al., "Principles of a Computer Immune System," <i>ACM, New Security Paradigms Workshop</i> , Langdale, Cumbria UK, 1998, 75-82
10	Warrender, C. et al., "Detecting Intrusions Using System Calls: Alternative Data Models," <i>IEEE Computer Society, Symposium on Security and Privacy</i> , 1999, 133-145

EXAMINER	DATE CONSIDERED
-----------------	------------------------